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Admitted only in Maryland Admitted only in Virginia Practice Limited to

June 11, 2003

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Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Art Unit 1632

Re:

U.S. Utility Patent Application

Appl. No. 09/390,634; Filed: September 7, 1999

For: Method for Expanding Embryonic Stem Cells in Serum-Free Culture

Inventors:

Price et al.

Our Ref:

0942.4190002/RWE/FRC

RECEIVED

Sir:

JUN 1 3 2003

Transmitted herewith for appropriate action are the following documents:

**TECH CENTER 1600/2900** 

- 1. Fee Transmittal Form (PTO/SB/17);
- 2. Supplemental Amendment in the Revised Format of the Pre-OG Notice Dated January 31, 2003;
- 3. Second Supplemental Information Disclosure Statement;
- 4. Listing of the cited documents on Form PTO-1449 (1 sheet);
- 5. A copy of each of the three (3) cited documents on Form PTO-1449;
- 6. Our PTO-2038 Credit Card Payment Form in the amount of \$804.00 to cover the following fees;

\$624.00 - Extra Claims; and \$180.00 - Second Supplemental Information Disclosure Statement; and

7. One (1) return postcard.

Sterne, Kessler, Goldstein & Fox PLLC: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skqf.com

Commissioner for Patents June 11, 2003 Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Frank R. Cottingham

Attorney for Applicants

Frank R. Cotting

Registration No. 50,437

FRC/pcd Enclosures

::ODMA\MHODMA\SKGF\_DC1;142952;1

PTO/SB/17 (05-03)

Approved for use through 4/30/2003. OMB 0651-0032 and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no person

**FEE TRANSMITTAL** 

for FY 2003

Patent fees are subject to annual revision.

THADE □Applicant claims small entity status. See 37 CFR 1.27

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TOTAL	AMOUNT OF BAYMENT	(\$\904.00	

s are required to respond to a co	llection of information unless it displa	ys a valid GIVID CONTROL HUMBE
	Complete if Known	
Application Number	09/390,634	Å
Filing Date	September 7, 1999	2
First Named Inventor	Paul J. Price	47
Examiner Name	Woitach, J.	10 CA
Art Unit	1632	1
Attorney Docket No.	0942.4190002/RV	ERC &

TOTAL AMOUNT OF PAYMENT (\$)804.00		Attorne	y Doci	ket No.	0942.4190	002/RWEÆRC े	-
METHOD OF PAYMENT (check all that apply)				F	EE CALCULATION (	(continued)	On 1
☐ Check Credit card ☐ Money Order ☐ Other** ☐ None	3. ADDITIONAL FEES						
** Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	3. ADDITIONAL FEES Large Entity Small Entity						3
Deposit Account Deposit Account Number 19-0036	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Descripti	ion	Fee Paid
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.	1051	130	2051	65	Surcharge - late filing f	fee or oath	
The Commissioner is authorized to: (check all that apply)	1502	50	2052	25	Surcharge-late provision	onal filing fee or cover	<u> </u>
Charge fee(s) indicated below Credit any over payments	1053	130	1053	130	Non-English specificat	tion	<del> </del>
Charge any additional fee(s) during the pendency of this application	1812	2,520	1812	2,520		ex parte reexamination	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1804	920*	1804	920*	Requesting publication action	n of SIR prior to Examiner	
identified deposit account.	1805	1,840*	1805	1,840*		n of SIR after Examiner	
	1251	110	2251	55	Extension for reply with	hin first month	$\vdash$
	1252	410	2252	205	Extension for reply with		
FEE CALCULATION	1253	930	2253	465	Extension for reply with	hin third month	
1. BASIC FILING FEE	1254	1,450	2254	725	Extension for reply with	hin fourth month	
Large Entity Small Entity	1255	1,970	2255	985	Extension for reply with	hin fifth month	
Fee Fee Fee Fee Fee Description Fee Paid	1401	320	2401	160	Notice of Appeal		
Code (\$)   Code (\$) 1001 750 2001 375 Utility filing fee	1402	320	2402	160	Filing a brief in support	t of an appeal	
1002 330 2002 165 Design filing fee	1403	280	2403	140	Request for oral hearing	ng	
1003 520 2003 260 Plant filing fee	1451	1,510	1451	1,510	Petition to institute a pu	ublic use proceeding	
1004 750 2004 375 Reissue filing fee	1452	110	2452	55	Petition to revive - una	voidable	
1105 160 2005 80 Provisional filing fee	1453	1,300	2453	650	Petition to revive - unin	ntentional	
SUBTOTAL (1) (\$)0.00	1501	1,300	2501	650	Utility issue fee (or reis	ssue)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1502	470	2502	235	Design issue fee		
Fee from Extra below Fee Paid	1503	630	2503	315	Plant issue fee		
Total Claims 107 - 77** = 30 X 18 = 540.00	1460	130	1460	130	Petitions to the Commi	issioner	
Indep. Claims 18 -17** = 1 X 84 = 84.00  Multiple Dependent =	1807	50	1807	50	Processing fee under 3		
Large Entity Small Entity	1806	180	1806	180	Submission of Inform	nation Disclosure Stmt	180.00
Fee Fee Fee Fee Fee Description Code (\$)	8021	40	8021	40	Recording each patent property (times number	t assignment per of properties)	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1809	750	2809	375		er final rejection (37 CFR	
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each additional inv (37 CFR 1.129(b))		
1204 84 2204 42 **Reissue independent claims over original patent	1801	750	2801	375	Request for Continued		
1205 18 2205 9 **Reissue claims in excess of 20	1802	900	1802	900	Request for expedited	examination of a design	
and over original patent	Other f	foo (ener	::6.A		application	ı	L
SUBTOTAL (2) (\$) 624.00	Other fee (specify)						
**or number previously paid, if greater; For Reissue, see above	* Redu	uced by I	3asic F	iling Fe	e Paid S	SUBTOTAL (3) (\$) <u>180.0</u>	00
SUBMITTED BY		-,,-				Complete (if applicable)	
Name (Print/Type) Frank R. Cottingham	Registi (Attorney)	ration No (Agent)	<b>)</b> .		50,437	Telephone 202-371	-2600
Signature Frank R. Cattural						Date June 11, 2	2003